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Abstract: Aims Flavanol-rich chocolate (FRC) is beneficial for vascular and platelet function by increasing nitric oxide bioavailability and decreasing oxidative stress. Congestive heart failure (CHF) is characterized by impaired endothelial and increased platelet reactivity. As statins are ineffective in CHF, alternative therapies are a clinical need. We therefore investigated whether FRC might improve cardiovascular function in patients with CHF. **Methods and results** Twenty patients with CHF were enrolled in a double-blind, randomized placebo-controlled trial, comparing the effect of commercially available FRC with cocoa-liquor-free control chocolate (CC) on endothelial and platelet function in the short term (2 h after ingestion of a chocolate bar) and long term (4 weeks, two chocolate bars/day). Endothelial function was assessed non-invasively by flow-mediated vasodilatation of the brachial artery. Flow-mediated vasodilatation significantly improved from 4.98 ± 1.95 to $5.98 \pm 2.32\%$ ($P = 0.045$ and 0.02 for between-group changes) 2h after intake of FRC to $6.86 \pm 1.76\%$ after 4 weeks of daily intake ($P = 0.03$ and 0.004 for between groups). No effect on endothelial-independent vasodilatation was observed. Platelet adhesion significantly decreased from 3.9 ± 1.3 to $3.0 \pm 1.3\%$ ($P = 0.03$ and 0.05 for between groups) 2 h after FRC, an effect that was not sustained at 2 and 4 weeks. Cocoa-liquor-free CC had no effect, either on endothelial function or on platelet function. Blood pressure and heart rate did not change in either group. **Conclusion** Flavanol-rich chocolate acutely improves vascular function in patients with CHF. A sustained effect was seen after daily consumption over a 4-week period, even after 12 h abstinence. These beneficial effects were paralleled by an inhibition of platelet function in the presence of FRC only. **Trial Registration** ClinicalTrials.gov Identifier: NCT00538941.

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Clinical Paper

Cardiovascular Effects of Flavanol-rich Chocolate in Patients with Heart Failure

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Short title: Effect of cocoa on vascular health in CHF

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Abstract

Aims: Flavanol-rich chocolate (FRC) is beneficial for vascular and platelet function by increasing nitric oxide bioavailability and decreasing oxidative stress. Congestive heart failure (CHF) is characterized by impaired endothelial and increased platelet reactivity. As statins are ineffective in CHF, alternative therapies are a clinical need. We therefore investigated whether FRC might improve cardiovascular function in patients with CHF.

Methods and Results: Twenty patients with CHF were enrolled in a double-blind, randomized placebo-controlled trial, comparing the effect of commercially available FRC with cocoa-liquor free control chocolate (CC) on endothelial and platelet function in the short-term (2hours after ingestion of a chocolate bar) and long-term (4weeks, two chocolate bars/day). Endothelial function was assessed non-invasively by flow-mediated vasodilatation (FMD) of the brachial artery. FMD significantly improved from $4.98 \pm 1.95\%$ to $5.98 \pm 2.32\%$ ($p=0.045$; $p=0.02$ for between group changes) two hours after intake of FRC, and to $6.86 \pm 1.76\%$ after four weeks of daily intake ($p=0.03$; $p=0.004$ between groups). No effect on endothelial-independent vasodilation was observed. Platelet adhesion significantly decreased from $3.9 \pm 1.3\%$ to $3.0 \pm 1.3\%$ ($p=0.03$; $p=0.05$ between groups) 2 hours after FRC, an effect that was not sustained at two and four weeks. Cocoa-liquor free CC had no effect, either on endothelial or platelet function. Blood pressure and heart rate did not change in either group.

Conclusion: FRC acutely improves vascular function in patients with CHF. A sustained effect was seen after daily consumption over a 4 weeks period, even after 12 hours abstinence. These beneficial effects were paralleled by an inhibition of platelet function in the presence of FRC only.

Trial Registration: [ClinicalTrials.gov Identifier: NCT00538941](https://clinicaltrials.gov/ct2/show/study/NCT00538941)

Key Words: endothelial function, cocoa, platelet, heart failure

Introduction

Cocoa consumption exerts several beneficial effects on cardiovascular health.¹ Epidemiologically, its consumption is inversely correlated with blood pressure and all cause mortality,² which has been recently confirmed in a large population based study.³ However, there are no large scale prospective morbidity and mortality trials evaluating the effect of cocoa so far, but smaller randomized studies convincingly proved beneficial short term effects on cardiovascular surrogates, namely on endothelial function.¹ Endothelial dysfunction is a pathophysiologic condition, associated with premature atherothrombotic disease.⁴ Diminished NO bioavailability and increased oxidative stress are among the most important features of endothelial dysfunction.⁵ Endothelial function can be assessed non-invasively by ultrasound guided measuring of flow-mediated vasodilatation (FMD) of the brachial artery. It correlates with coronary endothelial function and is predictive of future coronary events.⁶⁻⁸

Congestive heart failure (CHF) is a prevalent condition, representing the final stage of most cardiovascular diseases and is associated with high morbidity and mortality.⁹ Patients with CHF typically show endothelial dysfunction, increased oxidative stress, and baroreceptor dysfunction.¹⁰ Moreover, patients with impaired FMD, are at increased risk for cardiovascular events and death¹⁰ complications of atherosclerosis that involve increased platelet activation. Indeed, many complications in heart failure are thrombus related and increased platelet activation has been observed in CHF.¹¹

Cocoa acutely improve NO-dependent vasodilatation in healthy humans¹² and in patients with cardiovascular risk factors, including diabetes, both in the forearm circulation¹³⁻¹⁵ as well as in coronary arteries.¹⁶ CHF is a very late stage of most

forms of cardiovascular disease. At that stage many cardiovascular alterations are irreversible. For instance, lowering of low-density lipoproteins by HMG coenzyme reductase inhibitors does not longer reduce the event rates even in patients with ischemic cardiomyopathy.^{17, 18} Thus, alternative treatment options have to be explored in these high risk patients. Due to its potential to enhance NO bioavailability and its antioxidative properties, flavanol-rich chocolate (FRC) might be beneficial in CHF patients. It was thus the aim of this double-blind, placebo-controlled study to evaluate both the acute (2 hours after ingestion), as well as the longer-term (at 2 and 4 weeks) effects of FRC as compared to a cocoa-liquor-free CC on endothelial and platelet function in patients with CHF.

METHODS

Subjects

22 patients with stable CHF (NYHA \geq II) and ejection fraction $<50\%$ have been randomized. Exclusion criteria were: Decompensated HF, unstable angina pectoris, smoking, creatinine >200 $\mu\text{mol/L}$, ALT or AST >150 IU, diabetes mellitus, obesity (BMI >30 kg/m^2), symptomatic hypotension, BP $>160/100$ mmHg, known allergies to compounds of study chocolate or placebo, respectively, history of gastric ulcer or bleeding, venous thrombosis or pulmonary embolism, history of infectious disease or systemic inflammatory diseases, malignant tumors (unless cured or in remission for more than 5 years), concomitant vitamin supplements as well as participation in another study within the last month. Two patients dropped out during follow-up (one patient withdrew consent because of personal reasons, one patient was excluded due to ventricular fibrillation, terminated by an internal defibrillator; this event was considered unrelated to the study protocol). 20 patients were included into the final analysis.

Flavanol-rich as well a control chocolate (CC) were prepared by Nestlé. Both were wrapped identically and randomization was done by an organization independent of the study group (InterCorNet, Zürich, Switzerland).

All patients gave signed informed consent. The study was performed at the University Hospital of Zurich, and the study protocol approved by the local ethics committee and conducted according to the Declaration of Helsinki. Patients were recruited from the heart failure outpatient clinic.

Experimental Protocol

All patients were instructed to refrain from flavanol-rich food for 24 hours and fast for at least 8 hours before baseline examinations, which were always performed in the morning. After a short clinical examination and blood sampling, baseline measurements were performed. Blood pressure was measured 3 times with a validated automatic BP device (OMRON Mit Elite Plus). The mean of the last 2 measurements was recorded. Following the first assessment, the participants were randomized to receive either 40g of FRC or 28.4g CC (weight matched for fat and sugar content to the dark chocolate), which had to be ingested within 10 minutes. A nurse not directly involved in the study distributed study chocolate to the patient.

The study design is summarized in **Figure 1**. Primary endpoint of the study was endothelial function measured as flow mediated dilatation of the brachial artery. Secondary endpoints were baroreceptor function, platelet adhesion and oxidative stress parameters. To assess the short-term effects, two hours after the baseline visit, endothelial, as well as baroreceptor-function and platelet adhesion measurements were repeated. Afterwards, all participants obtained a box with blinded FRC or CC bars, respectively, and were instructed to ingest one bar twice a day and to continue their normal daily diet. Endothelial function, platelet and baroreceptor function were reassessed at 2 and 4 weeks to assess the longer-term effect. Measurements were performed after overnight fasting (at least 8 hours) to assess thoroughly the long-term effects.

Study chocolate and control

The FRC bars consisted of a commercially available preparation (Nestlé Noir IntenseTM). This chocolate contains 10.5g sugar and 17.9g of fat per 40g serving with 70% cocoa content. The catechin and epicatechin concentrations were 0.27mg/g and

0.9mg/g, respectively (as measured by high performance liquid chromatography), with total polyphenol content (as measured by the Folin-Ciocalteu method) of 15.6mg epicatechin equivalents/g (data from Nestlé Research Center, Lausanne, Switzerland). Nestlé also supplied the cocoa-liquor-free chocolate CC, given in 28.4g portions to match carbohydrate and fat content to 40g dark chocolate. The chocolate bars were identically wrapped to avoid any potential bias for the patient or examiners.

Flow mediated vasodilatation

Endothelial function was assessed by measurement of the FMD of the brachial artery as described previously.^{7, 19} In brief, while patients were in supine position, at rest, in a quiet air conditioned room a two-dimensional scan of the left brachial artery was obtained in a longitudinal section between 2 and 10 cm above the elbow, using a high-resolution 10Mhz linear array transducer and a high-resolution ultrasound system (Siemens Acuson X300). The transducer was kept in the same position during examination with the help of a stereotactic clamp to ensure consistency of the image. The analog video signal was acquired with a video processing system, which computes the artery diameter in real-time (FMD Studio, Pisa, Italy).²⁰

One minute after acquisition of the basal diameter a wrist cuff was inflated to 220mmHg for 5 minutes. Immediately after release, arterial diameter was continuously recorded during reactive hyperemia and flow velocity was measured. Baseline vessel size was considered as the mean of the measures obtained during the first minute. FMD was calculated as the maximal percent increase in diameter above the baseline. Endothelial-independent dilation was measured after glyceryl trinitrate (0.4 mg sublingual, Pohl-Boskamp, Germany) sublingual application by

recording arterial diameter continuously. The reproducibility of our laboratory has been previously published.²¹

Shear Stress-Dependent Platelet adhesion

Shear stress dependent platelet adhesion was assessed using the cone and platelet analyzer. In brief, citrated whole blood (200 μ l) was circulated in polystyrene wells at a shear rate of 1875 s^{-1} for 2 minutes with a rotating Teflon cone, as described previously.²² Wells were washed, stained with May-Grünwald, and analyzed with a microscope connected to an image analysis system. Results were expressed as the percentage surface covered by platelets and as the size of the platelet aggregates (ImageJ 1.31, National Institute of Health, USA).

Baroreflex function

Heart rate was measured together with blood pressure using an ECG and Dynamap (Critikon, Inc, Tampa, Florida) respectively. Data were stored and analyzed off-line with MatLab (The Math Works, Inc., Natick, Massachusetts). The assessment of baroreceptor function was done off-line with the alpha-coefficient method as previously described.²³ Due to technical reasons, these data were available in 11 patients only (6 in the group receiving flavanol-rich chocolate and 5 in the control group).

Biomarkers of oxidative stress

Serum samples were analyzed at the Antioxidant Research Laboratory of the Unit of Human Nutrition INRAN in Rome, Italy and the analyses were performed before unblinding of the study. The TRAP (total radical-reducing antioxidant potential)

method is based on the protection provided by antioxidants against the fluorescence decay of R-phycoerythrin (lag phase) during a controlled peroxidation reaction.

The FRAP (ferric-reducing antioxidant potential) assay is based on reduction of the Fe^{3+} -TPTZ complex to the ferrous form at low pH monitored at 595 nm by a Sunrise absorbance plate reader (Tecan Italia).

Total 8-iso-prostaglandin $\text{F}_{2\alpha}$ (8-iso-PGF $_{2\alpha}$) was quantified in serum samples with a direct 8-iso-PGF $_{2\alpha}$ enzyme immunoassay kit (Assay Designs Inc, Ann Arbor, Mich). All 3 tests have been described in detail earlier.¹⁶

Assessment of plasma flavanol concentrations

The plasma samples were sent to the Nestlé Research Centre, Lausanne, Switzerland, where the analyses were performed. The bioavailability of flavanols was assessed by identifying (-)-epicatechin, 3'-O-methyl-epicatechin, 4'-O-methyl-epicatechin and procyanidin B2 in plasma samples using a Waters Acquity Ultra-PerformanceTM liquid chromatography system (Waters, Baden, Switzerland) with fluorimetric detection. Prior to the chromatographic analysis, the plasma samples were treated by off-line SPE according to the method described by Unno et al.²⁴ with some modification.

The limits of quantification for (-)-epicatechin, 3'-O-methyl-epicatechin, 4'-O-methyl-epicatechin and procyanidin B2 in plasma samples were 100, 25, 25, 50 nM respectively. The overall recovery tested at three different concentrations was 84%; 93%; 94%; 78% for (-)-epicatechin, 3'-O-methyl-epicatechin, 4'-O-methyl-epicatechin and procyanidin B2, respectively.

Statistical analysis

The change in flow mediated vasodilation was defined as primary endpoint. The power calculation was based upon results of earlier studies in our laboratory. An FMD delta of 1.5%, and standard deviation of 1.5% for FRC versus control at 4 weeks was hypothesized. For a significance level of 5%, 10 patients per treatment group were assumed to be needed to reach a statistical power of 80%. The data was checked for normal distribution and most data was considered normally distributed. Therefore data was expressed as mean \pm standard deviation. To assess intra- as well as intergroup changes for FMD at any time points, the Wilcoxon-test was used. Additionally, we computed an analysis of covariance (ANCOVA) to assess the effect of treatment while correcting for the baseline values. The rest of the data were analyzed by paired and unpaired Student t-Test, and the Wilcoxon-Test as appropriate. All tests were two-sided and analysis was per protocol. A p-value of less than 0.05 was considered statistically significant. Statistics were performed using SPSS 17 for Mac OS X.

RESULTS

Clinical characteristics

Baseline characteristics and medications of the study population are shown in **Table 1**. No significant difference was found between the two groups with the exception of a slightly lower plasma potassium in the group assigned to FRC (4.0 ± 0.2 and 4.2 ± 0.3 , $p=0.05$, respectively). Risk factor distribution was similar between the groups: history of arterial hypertension (FRC 2 out of 10 and CC 3 out of 10, respectively), dyslipidemia (FRC 7/10, CC 5/10) and positive family history for cardiovascular disease (FRC 7/10, CC 6/10). According to the protocol, patients with diabetes mellitus and smokers were not included. Patients remained clinically stable during the study with no heart failure decompensation noted as also reflected by unchanged proBNP levels (from 898.6 ± 1084.7 to 743.5 ± 1059.3 ng/l after FRC and from 667.0 ± 443.5 to 684.5 ± 513.7 ng/l after CC, respectively). Weight remained stable during the 4 weeks course of daily chocolate ingestion (76.7 ± 18.8 to 76.9 ± 18.7 kg and 76.8 ± 11.6 to 77.3 ± 11.4 kg, respectively).

Blood Pressure and Heart Rate

Systolic, as well as diastolic BP and heart rate were not affected in the short-, as well as in the longer-term (**Table 2 and 3**). HR was rather low in this patient group, reflecting the appropriate use of beta-blockers in this population.

Laboratory Parameters

In the group receiving cocoa-liquor-free chocolate there was a significant decrease in insulin-sensitivity as assessed by the quantitative insulin sensitivity check index (QUICKI, **Table 1 and 3**), interestingly, an effect not seen in the FRC-

group. Otherwise, both FRC and cocoa-liquor-free CC had no immediate or longer-term effects on laboratory parameters. There has been no acute or chronic impact on inflammatory as well as on oxidative stress markers, including total antioxidative capacity, ferric reducing antioxidative capacity or isoprostanes (**Table 2 and 3**).

The slight but statistically nonsignificant higher plasma levels of hsCRP in the control group throughout the study can be attributed to one patient with elevated CRP levels. However, exclusion of this particular patient had no significant influence on the primary outcome.

Polyphenol Plasma Levels

There was a significant increase in plasma polyphenols after ingestion of FRC, as compared to cocoa-liquor-free CC. Epicatechin concentration increased (from below detection limit to $0.69 \pm 0.28 \mu\text{M}$, $p < 0.001$ for intra- and between group changes), whereas no plasma epicatechin were detectable before and after CC. However, in the FRC group (-)-epicatechin metabolites were only detected in the short term (2 h after ingestion) but not at the end of the 4-week treatment period (12 h after the last ingestion) (**Table 2**).

Flow-mediated Dilatation of the Brachial Artery

Baseline brachial artery diameter and baseline FMD were similar in both groups. Two hours after ingestion of 40 g FRC FMD increased from $4.98 \pm 1.95\%$ to $5.98 \pm 2.32\%$ ($p = 0.045$, $p = 0.02$ between-groups), whereas the 28.4g CC showed no effect (from $5.06 \pm 2.29\%$ to $4.47 \pm 1.5\%$; $p = 0.44$). Similarly, chronic daily intake of 80g of FRC resulted in a significant amelioration of endothelial dysfunction both after two (from $4.98 \pm 1.95\%$ to $5.82 \pm 1.54\%$; $p = 0.045$, $p = 0.33$ between-groups), as well as after four weeks (from $4.98 \pm 1.95\%$ to $6.86 \pm 1.76\%$; $p = 0.027$ and $p = 0.004$ between-

groups), despite a 12 hour refrain from flavanol-rich food before the follow-up measurements of FMD. Again, CC did not change FMD over the entire time course of up to 4 weeks (**Figure 2**). In the ANCOVA model to assess the effect of FRC while correcting for the baseline FMD values, the between group comparison after 4 weeks is even more pronounced ($p < 0.0001$) Nitroglycerin-induced endothelial-independent vasodilatation was not different between the groups at all time (see Table 2 and 3); additionally, reactive hyperemia was not different between visits or within the treatment groups (data not shown). In addition to the improvement in endothelial function, FRC significantly increased baseline brachial artery diameter 2 hours after ingestion (from 5.2 ± 1.2 mm to 5.4 ± 1.1 mm; $p = 0.001$, $p = 0.01$ between groups), while in the control group baseline diameter did not change (from 5.4 ± 0.8 mm to 5.4 ± 0.8 mm respectively; $p = 0.10$).

Baroreceptor Function

FRC as well as cocoa-liquor-free CC did not significantly influence baroreceptor function in patients with heart failure. There were no acute and chronic changes in both, baroreceptor sensitivity in the high and low frequency ranges (**Table 2** and **Table 3**).

Platelet Function

FRC intake acutely improved platelet function. Two hours after chocolate intake, platelet adhesion was significantly reduced from $3.9 \pm 1.3\%$ to $3.0 \pm 1.3\%$ ($p = 0.03$ and $p = 0.05$ between groups), whereas no change could be observed in the control group (from $3.3 \pm 1.9\%$ to $3.5 \pm 1.7\%$, respectively; **Figure 3**). However, no significant effect of FRC on platelet adhesion was found at 4 weeks after chronic

ingestion of FRC after a 12-hour refrain period from flavanol-rich food (from $3.9 \pm 1.3\%$ to $4.1 \pm 1.5\%$, $p=0.70$ and $p=0.43$ for between groups, **Table 3**).

DISCUSSION

In the present study we, for the first time, report a significant and specific effect of commercially available FRC on endothelial and platelet function, in patients with CHF. Interestingly, chocolate not only improved this important cardiovascular surrogate marker acutely, but even more so, after longer-term ingestion for up to four weeks. Such a vascular positive effect of an orally available molecule is not trivial in CHF as unexpectedly statins proved to be ineffective in this patient population.^{17, 18}

While most studies, so far, investigated only the acute effects of FRC on endothelial function,¹ we here report long-term effects with daily ingestion of FRC using a double-blind randomized design, rarely applied in nutritional research. In addition, this is the first study on the effect of chocolate in patients with advanced heart disease such as CHF. Of note, CHF is associated with endothelial dysfunction, a condition confirmed in our patient population. Indeed, FMD averaged about 4%, which is markedly lower than that observed in healthy controls.²⁵ The molecular mechanisms of endothelial dysfunction in CHF might involve increased levels of cytokines, impaired endothelial-receptor-signal transduction pathways and increased angiotensin-converting enzyme activity.²⁶⁻²⁹ Furthermore, neurohumoral activation and increased levels of endothelium-derived vasoconstrictors occurs in CHF.³⁰ In addition, CHF is associated with enhanced generation of ROS and oxidized LDL, further counteracting vasodilator properties of NO. Therefore, the fact that cocoa polyphenols improve endothelial function by increasing NO synthase activity and by

exerting antioxidative capacity might be of importance for heart failure patients, especially as there is a strong association between endothelial dysfunction and cardiovascular morbidity and mortality in these patients.¹⁰ Finally, CHF patients, particularly at advanced stages, are prone to weight loss, anemia and cachexia, making nutritional issues important in the management of such patients.

In our study, ingestion of FRC was followed by a marked and significant increase in (-)-epicatechin plasma concentrations. In parallel, FMD improved significantly in the flavanol, but not in the control group. This effect likely involves increased NO bioavailability, since it is reduced in CHF,³¹ and ingestion of cocoa high in flavanols rapidly enhances the pool of bioactive NO and improves FMD in patients with hypertension or diabetes.^{15, 32} Furthermore, after the infusion of L-NMMA, an inhibitor of the NO synthesis, the increase in plasma NO levels as well as the amelioration of endothelial dysfunction associated with cocoa are blunted.³² Moreover, a diet high in flavanols has been associated with a high urinary excretion of NO metabolites³³ and purified (-)-epicatechin has been shown to mimic the endothelial effects of flavanol-rich cocoa.³³ In the short term, flavanols inhibit the inactivation of NO by free radicals via inhibition of NADPH oxidase, while in the long term they seem to be able to express higher levels of protein eNOS.³⁴ Furthermore, pure (-)-epicatechin ingestion not only augments NO bioavailability, but also reduces the plasma levels of endothelin-1, a potent endothelium-derived vasoconstrictor.³⁵

Besides their effects on endothelial NOS expression, cocoa flavanols also exert antioxidant effects *in vitro*, which could contribute to the results of our study. In humans, it has been shown that cocoa is able to counteract lipid peroxidation and thus lowering the levels of plasma F₂-Isoprostanes, important markers of *in vivo* lipid peroxidation,³⁶ as well as plasma levels of oxLDL,³⁷ and increases overall antioxidant capacity.³⁸ Although not obvious in the current study, we showed that in

young healthy smokers the same commercially available chocolate as used in the present study, was able not only to improve endothelial function but also to improve antioxidant status.³⁹ We also evaluated the effect of the same commercially available chocolate on cardiac transplant recipients, where we demonstrated a reduction in oxidative stress, as assessed by plasma isoprostanes; this was paralleled by coronary vasodilatation, improvement in coronary vascular function and decreased platelet adhesion.¹⁶

Beyond the acute effects on endothelial function, the sustained and even more pronounced effects of chronic cocoa ingestion were remarkable. Indeed, endothelial function was further improved after two, and particularly after four weeks of daily ingestion of 80g FRC. It is of note that endothelial function measurements were performed in the morning after overnight fasting, thus an acute effect of chocolate in these measurements can be excluded. Indeed, in line with this assumption, both at two weeks and four weeks (-)-epicatechin and its methyl metabolites were no longer detectable in plasma at the time of vascular function studies. Therefore, chronic flavanol supplementation must have changed the biology of the brachial artery and endothelial cells in particular in the CHF patients studied. Although, we do not have a mechanistic prove, flavanols most likely must have induced the expression of endothelial NOS, an effect that was sustained beyond the short half-life of these cocoa-derived nutrients. In line with this interpretation, brachial artery diameter increased two hours after ingestion of FRC. Furthermore, plasma levels of oxidative stress markers as well as of CRP were similar at two and four weeks as they had been at baseline excluding a major contribution of reactive oxidant species. Finally, endothelium-independent relaxations to nitroglycerin were unaffected by the dietary intervention.

The improvement in platelet function shortly after cocoa ingestion confirms our previous studies in young healthy smokers³⁹ and heart transplant recipients.¹⁶ However, we were not able to show any long-term effect after two or four weeks. This may be due to the fact that blood samples were collected after an overnight fast and thus the polyphenols had already been metabolized and excreted as reflected by the lack of detection of these substances in the blood samples. Our findings are not surprising, since platelets are anucleated cells and hence unable to induce protein production for instance an increased transcription and translation of endothelial NOS expression. Hence, it appears that flavanols can activate NO release from platelets as previously described,⁴⁰ but – in contrast to endothelial cells - are unable to exert sustained effects in these anucleated cells.

Neurohumoral activation, in particular activation of the sympathetic nervous system is a hallmark of CHF. Thus, we further investigated the effect of FRC on baroreceptor function by the alpha coefficient method. However, no effect of the dietary intervention has been noted, neither in the short nor in the long term. This is surprising, since NO has been involved in baroreceptor signaling.⁴¹ An explanation might be the use of beta-blocking agents in all of our patients according to guidelines, thus masking a possible effect of FRC. Alternatively, the limited number of observations may also contribute to the negative findings, as baroreflex function was only measured in 11 patients.

Epidemiological and small intervention studies suggest a small, but distinct BP lowering effect of chocolate consumption.^{2, 3, 42, 43} However, these studies were performed in patients with elevated or mildly elevated BP. Moreover, the effect of BP lowering was higher in subjects with higher baseline BP.⁴³ As it is typical in CHF, baseline BP of our patients was low and averaged 110/65 mmHg. We did not observe any relevant effect of FRC consumption on systolic or diastolic BP, neither

acutely nor after two and four weeks, despite a substantial increase in plasma epicatechin levels and that of its metabolites. This might be explained by the low baseline BP as well as by the fact that antihypertensive drugs had been used. Intervention studies demonstrated improved insulin sensitivity after chocolate consumption, especially in hypertensives.^{14, 42} Interestingly, in this study we observed a decrease in insulin sensitivity after 4 weeks in the control group, a decrease not seen in patients consuming FRC. This may potentially point towards an additional beneficial effect of FRC in CHF patients. It is of note, that despite the high caloric load of our commercially available FRC, no weight gain and no change in blood lipids were noted.

There are some limitations of the study. We did not control for habitual food intake, especially for other cocoa products. Furthermore, patients were free to schedule study chocolate intake thus other foods might have influenced bioavailability. Although we think it is unlikely, we cannot exclude that the results are biased by the individuals' diet. We are aware that our results are based on a rather small sample size thus effects on secondary endpoints as blood pressure, oxidative stress parameters and others might not have been detected.

In conclusion, this is the first study demonstrating beneficial cardiovascular effects of commercially available, FRC in patients with CHF. FRC not only led to peripheral vasodilatation, improvement in endothelial function and enhancement in platelet function shortly after chocolate ingestion but also to an amelioration in endothelial function in the long term after two and four weeks of daily chocolate ingestion. It is of note that endothelial function was improved on top of optimal medical treatment with drugs known to improve vascular function alone.

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Conflict of interest: The study was investigator initiated and investigator driven. The clinical trial was conducted using Chocolate of the Nestlé Company where Antoine Lévêques is an employee. The other authors report no actual or potential conflicts of interest in connection with this study.

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Figure Legends

Figure 1

Study design. N=actual sample size.

Figure 2

Effect of flavanol-rich and control chocolate, respectively, on endothelial function (measured by flow mediated dilatation - FMD). Two hours after ingestion of flavanol-rich chocolate, FMD increased from $4.98 \pm 1.95\%$ to $5.98 \pm 2.32\%$ whereas the control chocolate showed no significant effect (from $5.06 \pm 2.29\%$ to $4.47 \pm 1.5\%$). Similarly, chronic daily intake of 80g of flavanol-rich chocolate resulted in a significant amelioration of endothelial dysfunction after four weeks (from $4.98 \pm 1.95\%$ to $6.86 \pm 1.76\%$). * $p < 0.05$.

Figure 3

Acute Effect of flavanol-rich and control chocolate, respectively, on platelet adhesion. $p = 0.03$ for FRC after 2 hours compared to baseline.

Tables**Table 1: Clinical characteristics of the study population (n=20)**

| | FRC (n=10) | CC (n=10) |
|----------------------------------|-------------------|------------------|
| Age (years) | 60.3±10.1 | 58.1±11.9 |
| Male/female | 8/2 | 9/1 |
| Etiology (ischemic/not ischemic) | 5/5 | 5/5 |
| Time from CHF diagnosis (years) | 6.1±3.9 | 6.7±5.7 |
| Ejection Fraction (%) | 29.9±9.9 | 34.7±8.8 |
| FMD (%) | 4.98±1.95 | 5.06±2.29 |
| Weight (Kg) | 76.7±18.8 | 76.8±11.6 |
| BMI (Kg/m ²) | 25.9±5.1 | 25.6±3.5 |
| Systolic BP (mmHg) | 109.5±13.0 | 113.2±18.1 |
| Diastolic BP (mmHg) | 65.8±8.4 | 68.0±12.3 |
| HR (b/min) | 67.6±11.7 | 60.1±10.5 |
| Creatinine (μmol/l) | 94.3±11.2 | 100.6±26.3 |
| Sodium (mmol/l) | 138.0±2.2 | 139.1±3.3 |
| Potassium (mmol/l) | 4.0±0.2 | 4.2±0.3 |
| Hb (g/dl) | 14.2±1.0 | 13.6±1.6 |
| Platelets (10 ³ /mL) | 226.5±39.7 | 231.2±66.9 |
| Glucose (mmol/l) | 6.4±1.1 | 5.8±1.2 |
| QUICKI | 0.33±0.05 | 0.33±0.04 |
| HbA1c (%) | 5.9±0.4 | 5.8±0.4 |
| Total cholesterol (mmol/l) | 4.7±1.1 | 5.0±1.5 |
| HDL-cholesterol (mmol/l) | 1.2±0.3 | 1.3±0.3 |
| LDL-cholesterol (mmol/l) | 2.5±1.0 | 2.9±1.2 |
| Triglycerides (mmol/l) | 2.1±0.9 | 1.7±0.8 |
| ALT (U/l) | 31.6±7.6 | 29.6±10.1 |
| AST (U/l) | 42.0±22.4 | 29.1±10.2 |
| proBNP (ng/l) | 898.6±1084.7 | 667.0±443.5 |
| hsCRP (mg/l) | 1.4±1.4 | 4.4±4.4 |
| Medication | | |
| ACE-Inhibitor/ARB | 10/10 | 10/10 |
| Betablocker | 10/10 | 10/10 |
| Diuretics | 8/10 | 6/10 |
| Calcium-Antagonist | 1/10 | 0/10 |
| Aspirin (100 mg) | 4/10 | 3/10 |
| Clopidogrel | 1/10 | 2/10 |
| Statin | 7/10 | 4/10 |
| Oral anticoagulation | 5/10 | 7/10 |
| Molsidomin | 1/10 | 1/10 |
| Digoxin | 1/10 | 3/10 |
| Antiarrhythmics | 0/10 | 3/10 |

Flavanol-rich chocolate (FRC) and control chocolate (CC); FMD: flow-mediated vasodilatation; BMI: body mass index; BP: blood pressure; HR: heart rate; Hb: hemoglobin; QUICKI: quantitative insulin sensitivity check index; HDL: high density lipoprotein; LDL: low density lipoprotein; AST: Aspartate Aminotransferase; ALT: Alanine Aminotransferase; BNP: B-natriuretic Peptide; hsCRP: high sensitivity C-reactive Protein; ACE: angiotensin converting enzyme, ARB: angiotensin receptor blocker.

Table 2: Acute Effect (after 2 hours) of flavanol-rich chocolate (FRC) and control chocolate (CC)

| | FRC (n=10) | | CC (n=10) | | between group p |
|-----------------------|-------------|------------|------------|------------|-----------------|
| | Baseline | +2 hours | Baseline | + 2 hours | |
| Diameter (mm) | 5.15±1.23 | 5.41±1.11* | 5.43±0.77 | 5.38±0.76 | 0.01 |
| FMD (%) | 4.98±1.95 | 5.98±2.32* | 5.06±2.29 | 4.47±1.5 | 0.02 |
| GTN (%) | 15.6±5.1 | 13.1±2.6 | 13.6±3.1 | 12.0±3.7 | 0.48 |
| Platelet Adhesion (%) | 3.87±1.32 | 2.99±1.31* | 3.30±1.86 | 3.49±1.66 | 0.05 |
| SBP (mmHg) | 109.5±13.0 | 112.5±12.9 | 113.2±18.1 | 112.3±14.1 | 0.32 |
| DBP (mmHg) | 65.8±8.4 | 70.2±9.1 | 68.0±12.3 | 69.1±10.3 | 0.39 |
| Heart rate (b/min) | 67.6±11.7 | 62.9±7.4 | 60.1±10.5 | 60.3±10.9 | 0.12 |
| BRS HF (n=6) | 27.4±14.4 | 29.9±19.6 | 21.0±22.3 | 21.5±21.7 | 0.83 |
| BRS LF (n=5) | 16.7±16.3 | 17.1±13.9 | 14.9±19.8 | 22.6±27.2 | 0.71 |
| LF/HF (n=5) | 1.0±0.6 | 5.7±13.7 | 1.0±0.6 | 1.3±0.7 | 0.18 |
| Glucose (mmol/l) | 6.4±1.1 | 5.5±0.46* | 5.8±1.2 | 5.1±0.43* | 0.07 |
| hsCRP (mg/l) | 1.4±1.4 | 1.5±1.4 | 4.4±4.4 | 4.4±4.4 | 0.90 |
| Flavanols (μmol/l) | 0.00±0 | 0.69±0.28* | 0.00±0 | 0.00±0 | <0.001 |
| TRAP (μmol/l) | 1667±299 | 1749±297 | 1599±219 | 1651±225 | 0.19 |
| FRAP (μmol/l) | 1412±235 | 1441±245 | 1273±173 | 1325±212 | 0.78 |
| 8-Isoprostanes(pg/ml) | 865.2±228.7 | 800.3±41.6 | 831.3±36.9 | 812.0±38.6 | 0.46 |

* p<0.05 or less vs baseline

FMD: flow mediated vasodilatation, GTN: Glyceril Trinitrate (Nitroglycerin), SBP: systolic blood pressure, DBP: diastolic blood pressure, BRS: baroreceptor sensitivity, LF: low frequency, HF: high frequency, hsCRP: high sensitivity C-reactive Protein, TRAP; total reducing antioxidative potential, FRAP: ferric reducing antioxidative potential.

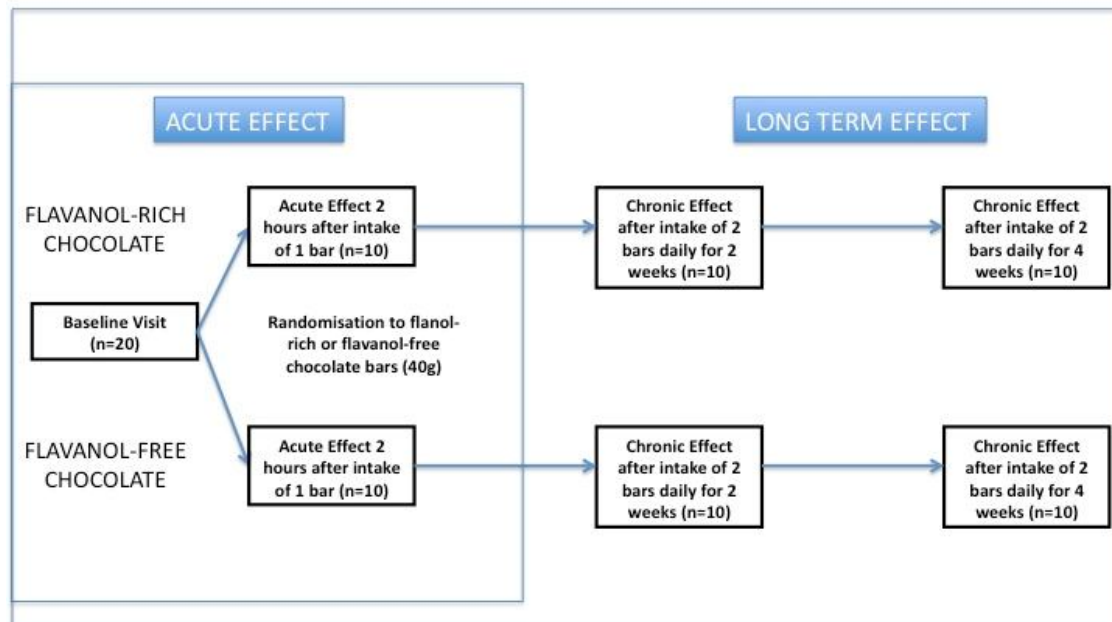
Table 3: Long term Effect (4 weeks) of Flavanol-rich chocolate (FRC) or Control Chocolate (CC)

| | FRC (n=10) | | CC (n=10) | | between group p |
|-------------------------------|--------------|--------------|-------------|-------------|-----------------|
| | Baseline | +4 weeks | Baseline | +4 weeks | |
| Brachial artery diameter (mm) | 5.15±1.23 | 5.25±1.22 | 5.43±0.77 | 5.28±0.76 | 0.02 |
| FMD (%) | 4.98±1.95 | 6.86±1.76* | 5.06±2.29 | 3.92±1.89 | 0.004 |
| GTN (%) | 15.6±5.1 | 13.4±4.2 | 13.6±3.1 | 13.7±3.4 | 0.06 |
| Platelet Adhesion (%) | 3.86±1.32 | 4.07±1.52 | 3.30±1.86 | 3.27±1.77 | 0.43 |
| Weight (Kg) | 76.7±18.8 | 76.9±18.7 | 76.8±11.6 | 77.3±11.4 | 0.33 |
| SBP (mmHg) | 109.5±13.0 | 106.7±7.2 | 113.2±18.1 | 117.8±20.5 | 0.12 |
| DBP (mmHg) | 65.8±8.4 | 68.1±8.9 | 68.0±12.3 | 69.8±8.6 | 0.87 |
| Heart rate (b/min) | 67.6±11.7 | 68.3±7.3 | 60.1±10.5 | 59.6±11.3 | 0.71 |
| BRS HF (n=6) | 27.4±14.4 | 21.2±10.9 | 21.0±22.3 | 19.1±9.1 | 0.53 |
| BRS LF (n=5) | 16.7±16.3 | 15.4±12.3 | 14.9±19.8 | 15.2±18.4 | 0.40 |
| LF/HF (n=5) | 1.0±0.6 | 3.3±3.8 | 1.0±0.6 | 2.1±2.1 | 0.31 |
| Creatinine (µmol/l) | 94.3±11.2 | 98.6±17.8 | 100.6±26.3 | 103.8±28.6 | 0.24 |
| Sodium (mmol/L) | 138.0±2.2 | 138.8±1.0 | 139.1±3.3 | 139.0±2.9 | 0.38 |
| Potassium (mmol/L) | 4.0±0.2 | 4.0±0.3 | 4.2±0.3 | 4.0±0.3 | 0.07 |
| Glucose (mmol/l) | 6.4±1.1 | 6.4±0.8 | 5.8±1.2 | 6.2±1.7 | 0.13 |
| QUICKI | 0.33±0.05 | 0.32±0.04 | 0.33±0.04 | 0.31±0.05* | 0.21 |
| HbA1c (%) | 5.9±0.4 | 5.8±0.2 | 5.8±0.4 | 5.9±0.4 | 0.15 |
| ALT (U/L) | 31.6±7.6 | 32.4±7.0 | 29.6±10.1 | 28.7±8.9 | 0.14 |
| AST (U/L) | 42.0±22.4 | 35.5±14.1 | 29.1±10.2 | 30.9±13.5 | 0.43 |
| Pro BNP (ng/L) | 898.6±1084.7 | 743.5±1059.3 | 667.0±443.5 | 684.5±513.7 | 0.25 |
| hsCRP (mg/l) | 1.4±1.4 | 1.13±1.04 | 4.4±4.4 | 4.55±7.1 | 0.80 |
| TC (mmol/l) | 4.7±1.1 | 4.8±1.1 | 5.0±1.5 | 5.1±1.3 | 0.91 |
| HDL-C (mmol/l) | 1.2±0.3 | 1.3±0.26 | 1.3±0.3 | 1.3±0.26 | 0.03 |
| LDL-C (mmol/l) | 2.5±1.0 | 3.1±0.7 | 2.9±1.2 | 2.5±1.2 | 0.71 |
| Triglycerides (mmol/l) | 2.1±0.9 | 1.9±1.2 | 1.7±0.8 | 1.7±0.43 | 0.51 |
| TRAP (µmol/l) | 1667±299 | 1728±259 | 1599±219 | 1696±242 | 0.47 |
| FRAP (µmol/l) | 1412±235 | 1446±269 | 1273±173 | 1331±230 | 0.79 |
| 8-Isoprostanes (pg/ml) | 865.2±228.7 | 790.7±35.7 | 831.3±36.9 | 783.5±46.0 | 0.52 |

* $p < 0.05$ or less vs baseline

FMD: flow mediated vasodilatation, GTN: Glyceril Trinitrate (Nitroglycerin), SBP: systolic blood pressure, DBP: diastolic blood pressure, BRS: baroreceptor sensitivity, LF: low frequency, HF: high frequency; QUICKI; quantitative insulin sensitivity check index; AST: Aspartate Aminotransferase; ALT: Alanin-Aminotransferase; BNP: B-natriuretic Peptide; hsCRP: high sensitivity C-reactive Protein, TC: triglycerides; HDL-C high density lipoprotein cholesterol, LDL-C low density lipoprotein cholesterol, TRAP; total reducing antioxidative potential, FRAP: ferric reducing antioxidative potential.

Figure 1



Measurements performed at all visits: FMD, baroreceptor function, platelet function, blood sampling, and clinical examination. Baseline visit and long term effect visits are performed after fasting overnight. No chocolate bars were ingested on the examination day (except for acute effect measurements).

Figure 2

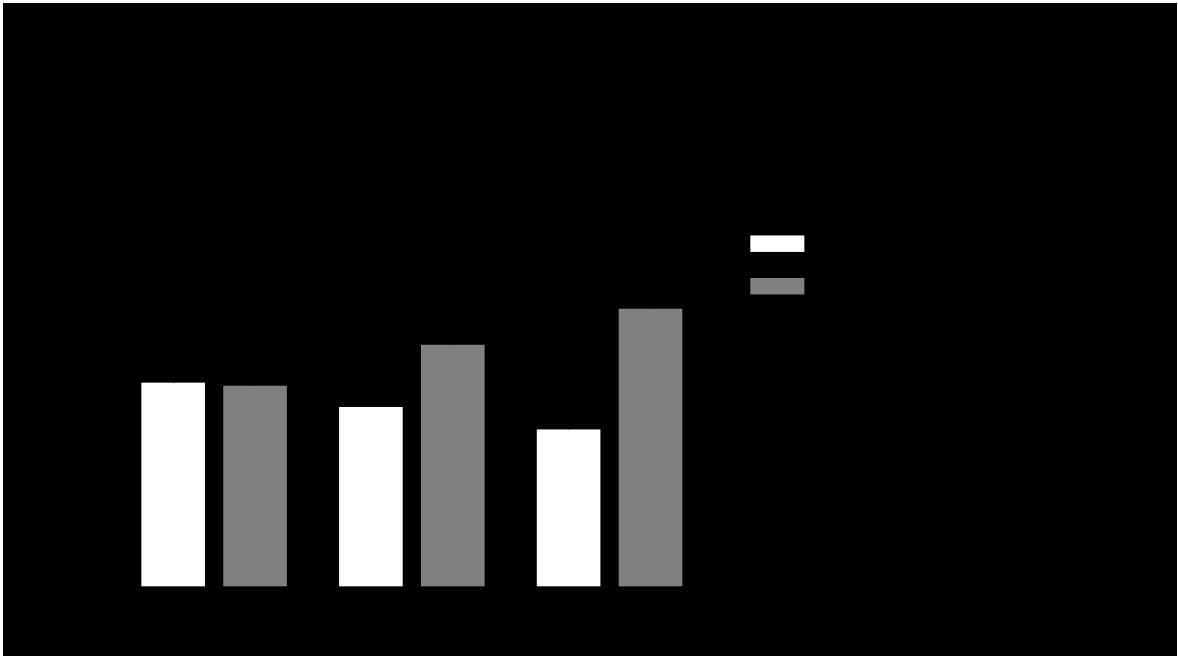


Figure 3

